

**McDERMOTT, WILL & EMERY**

4370 La Jolla Village Drive  
Seventh Floor  
San Diego, CA 92122  
858-535-9001

Main Facsimile No. 858-535-8949  
Facsimile Operator No. 858-643-1400

**FACSIMILE**

**Date:** December 15, 2003 **Time Sent:**

**TO:**

Name	Company	Facsimile No.	Contact No.
R. Tang Office of Petitions	U.S. Patent and Trademark Office	703-305-4257	703-308-0763

**FROM:** Deborah L. Cadena, Ph.D. **Direct Phone:** 858-535-9001

**Registration No.:** 44,048

**Sent By:** Carrie Hines **Direct Phone:** 858-535-9001

**Client/Matter/Tkpr:** 67233-011 **Original Follow by Mail:** No

**Number of Pages, Including Cover:** 4

**Re:** U.S. Patent Application No. 09/932,976

**MESSAGE:**

A copy of the executed Assignment.

**FAX RECEIVED**  
**DEC 15 2003**  
**PETITIONS OFFICE**

The information contained in this facsimile message is legally privileged and confidential information intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, or copy of this facsimile is strictly prohibited. If you have received this facsimile in error, please notify us immediately by telephone and return the original message to us at the above address by mail. Thank you.

**IF YOU DO NOT RECEIVE ALL OF THE PAGES, PLEASE CALL AS SOON AS POSSIBLE.**



PTAS

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

COPY

JULY 21, 2003

McDERMOTT, WILL & EMERY  
DEBORAH L. CADENA  
4370 LA JOLLA VILLAGE DRIVE  
7TH FLOOR  
SAN DIEGO, CA 92122

\*700036788A\*

\*700036788A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/17/2003

REEL/FRAME: 013811/0445

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

AUGER, STEPHEN B.

DOC DATE: 05/17/2003

## ASSIGNEE:

STAIN, INC.  
3835 CASTLEROCK ROAD  
MALIBU, CALIFORNIA 90265

SERIAL NUMBER: 09932976

PATENT NUMBER:

FILING DATE: 08/21/2001

ISSUE DATE:

FAX RECEIVED  
DEC 15 2003

PETITIONS OFFICE

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



COPY

## ASSIGNMENT

(Sole Inventor)

WHEREAS, I, Stephen B. Auger, ("ASSIGNOR") have invented "MINERAL STAINS FOR WOOD AND OTHER SUBSTRATES" ("Invention") for which I have filed an application for a patent ("Application") in the United States, Application Serial No. 09/932,976, filed August 21, 2001;

WHEREAS, I am the sole inventor of the Invention;

WHEREAS, Stain, Inc., ("ASSIGNEE") is a corporation organized and existing under the laws of California/USA, having a place of business at 3835 Castlerock Road, Malibu, CA 90265.

WHEREAS, ASSIGNEE desires to acquire the entire right, title and interest in and to the Invention and Application and to all patents based on the Application;

NOW, THEREFORE, for valuable consideration, the receipt and legal sufficiency of which ASSIGNOR acknowledges, ASSIGNOR has and hereby does assign and transfer to ASSIGNEE, its successors and assigns, the entire right, title and interest in and to:

1. The Invention;
2. The Application;
3. All applications based on or claiming priority from the Application, including all divisionals and continuations ("Application Family");
4. All patents which may be granted on the Invention, Application and Application Family by the United States of America, its territories and possessions, and by all foreign countries, including all priority rights and all reissues thereof ("Patents"); and
5. The right to sue for past infringement of the Invention, Application, Application Family and Patents.

ASSIGNOR agrees, at the request of ASSIGNEE, to sign any and all papers reasonably needed to file and prosecute the Application and Application Family, to obtain the Patents, and to perfect and vest in ASSIGNEE the entire right, title and interest in the Invention, Application, Application Family and Patents. ASSIGNOR also agrees to provide assistance that is reasonably needed to prepare and prosecute the Application and Application Family.

ASSIGNOR authorizes and empowers ASSIGNEE, its successors and assigns, to apply for and obtain, in its own name, the Application, Application Family and Patents before competent International Authorities, including the World Intellectual Property Organization and in any and all foreign countries in which applications for patents can be made or patents obtained.

Dated: 5/7, 2003

Name: Stephen B. Auger

Address: P.O. Box 1685  
Santa Monica, CA 90404

STATE OF California )

COUNTY OF \_\_\_\_\_ )

On \_\_\_\_\_, 2003 before \_\_\_\_\_ personally appeared [name], ☐ personally known to me or ☐ proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument, the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

(SEAL)

Notary Public